

NCL Method ITA-1

Analysis of Hemolytic Properties of Nanoparticles

Nanotechnology Characterization Laboratory

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1. Introduction

Hemolysis refers to the damage of red blood cells leading to the release of intracellular erythrocyte content into blood plasma. Erythrocytes comprise approximately 45% of whole blood by volume. When hemolysis occurs *in vivo*, it can lead to anemia, jaundice and other pathological conditions which could become life threatening. Hemoglobin is a dominant intracellular protein of erythrocytes. It plays a key role in carrying oxygen to other cells and tissues. However, extracellular hemoglobin released upon hemolysis is toxic and may affect vascular, myocardial, renal and central nervous system tissues. Therefore, all medical devices and drugs which come into contact with blood are required to be tested for potential hemolytic properties.

2. Principles

This document describes a protocol for quantitative colorimetric determination of total hemoglobin in whole blood (TBH) and plasma-free hemoglobin (PFH). An increase in plasma-free hemoglobin is indicative of erythrocyte damage by the test material (a positive control substance or a nanoparticle). Hemoglobin released from damaged erythrocytes is unstable and forms several derivatives with difference optical properties. Hemoglobin and its derivatives, except sulfhemoglobin, are oxidized to methemoglobin by ferricyanide in the presence of alkali. Addition of the Drabkin's solution containing cyanide (also called CMH Reagent) converts methemoglobin into cyanmethemoglobin (CMH). The CMHform is the most stable form of hemoglobin and can be detected by spectrophotometry at 540 nm. Addition of CMH Reagent to whole blood will lyse erythrocytes for estimation of TBH, while its addition to plasma is used to detect PFH.

A hemoglobin standard is used to construct a standard curve covering a concentration range from 0.025 to 0.80 mg/mL, as well as to prepare quality control samples at low (0.0625 mg/mL), mid (0.125 mg/mL) and high (0.625mg/mL) concentrations for monitoring assay performance. The results, expressed as percent of hemolysis, are used to evaluate the acute *in vitro* hemolytic properties of nanoparticles. Other versions of the hemolysis assay are available in the literature; these protocols omit reduction of the hemoglobin to its stable CMH form and estimate the amount of hemolysis by measuring oxyhemoglobin at one of its primary absorbance

peaks (i.e., 415, 541 or 577 nm). These assays have been previously reviewed by Malinauskas R.A. [1]. The protocol described herein is based on ASTM International standards [2, 3].

3. Reagents, Materials, and Equipment

Note: The NCL does not endorse any of the suppliers listed below; their inclusion is for informational purposes only. Equivalent supplies from alternate vendors can be substituted.

3.1 Reagents

- 1. Cyanmethemoglobin (CMH) Reagent (Teco Diagnostics, Anahem, CA, H526-480)
- 2. Hemoglobin Standard (StanBio, 0325-006)
- 3. Ca²⁺/Mg²⁺ free PBS (GE Life Sciences, SH30256.01)
- 4. Normal human whole blood anti-coagulated with Li-heparin from at least three donors
- 5. Triton X-100 (Sigma, 93443)

3.2 Materials

- 1. Pipettes, 0.05 to 10 mL
- 2. 96 well plates suitable for cell culture
- 3. Polypropylene tubes, 15 mL
- 4. Microcentrifuge tubes, 1.5 mL

3.3 Equipment

- 1. Water bath at 37°C, or incubator at 37°C with a tube rotator
- 2. Plate reader for 540 nm
- 3. Centrifuge, 800xg for vacutainers or larger tubes
- 4. Centrifuge, 800xg and 18,000xg for microcentrifuge tubes

4. Preparation of Standards and Controls

4.1 Calibration Standards

An example of the preparation of standards is shown in Table 1. Volumes can be adjusted as needed. Prepare fresh standards for each experiment; discard any remaining sample after use.

Table 1. Preparation of Calibration Standards

Standard	Nominal Concentration (mg/mL)	Preparation Procedure					
Cal 1	0.80	2 mL of stock solution					
Cal 2	0.40	1 mL Cal 1 + 1 mL CMH reagent					
Cal 3	0.20	1 mL Cal 2 + 1 mL CMH reagent					
Cal 4	0.10	1 mL Cal 3 + 1 mL CMH reagent					
Cal 5	0.05	1 mL Cal 4 + 1 mL CMH reagent					
Cal 6	0.025	1 mL Cal 5 + 1 mL CMH reagent					

4.2 Quality Controls

An example of the preparation of QC standards samples is shown in Table 2. Volumes can be adjusted as needed. Prepare fresh QC for each experiment; discard any remaining sample after use.

Table 2. Preparation of Quality Control Standards

Standard	Nominal Concentration (mg/mL)	Preparation Procedure
QC 1	0.625	1.5 mL of stock solution + 0.42 mL CMH reagent
QC 2	0.125	$200~\mu L~QC~1 + 800~\mu L~CMH$ reagent
QC 3	0.0625	100 μL QC 1 + 900 μL CMH reagent

4.3 Positive Control

Any reagent or a nanomaterial, which reproducibly induces \geq 8% hemolysis in this assay can be used as the assay positive control. Triton X-100 at a stock concentration of 1% (10 mg/mL) is an example positive control. Triton X-100 can be prepared in sterile distilled water and kept refrigerated (nominal temperature of 4°C) for up to 2 weeks. Alternatively, a commercial 10% solution can be used and stored according to the manufacturer's instructions.

4.4 Negative Control

Phosphate buffered saline (PBS) is supplied as sterile solution and can be used as the negative control. Store the stock solution at room temperature. Alternatively, a solution of polyethylene glycol or any other material known to be non-hemolytic can be used as the negative control. When such reagents are used, please refer to the preparation and storage instructions by the reagent manufacturer.

4.5 Vehicle Control

Vehicle control is the buffer or media used to formulate test nanomaterials. Common excipients used in nanoformulations are trehalose, sucrose, and albumin. However, other reagents and materials are also used alone or in combination. Vehicle control should match the formulation buffer of the test nanomaterial by both composition and concentration. This control can be skipped if nanoparticles are stored in PBS.

4.6 Inhibition/Enhancement Control

This control is needed to estimate potential interaction between nanoparticles and plasma-free hemoglobin which masks hemoglobin from detection by the assay. The control is prepared by spiking cell-free supernatants, obtained from the positive control sample, with nanoparticles at concentrations matching those analyzed in the assay. For example, if a nanoparticle is tested at four concentrations (1.0, 0.2, 0.04 and 0.008 mg/mL), then cell-free supernatants derived from the assay positive control should be spiked with 1.0, 0.2, 0.04 and 0.008 mg/mL of nanoparticle. This control is helpful in identifying false-negative results when a material with strong hemolytic potential (i.e. >90% hemolysis) is used as the assay positive control. It also helps to identify potential enhancement-type interference when a low potency positive control (8-50% hemolysis)

is used. The test results derived from these samples should be adjusted by the dilution factor (1:1) to account for the PC dilution.

False positive interference resulting from nanoparticle optical properties overlapping with the assay wavelength (540 nm) is identified by nanoparticle-only blood-free control (see section 4.7).

4.7 Blood-Free Control

Nanoparticles diluted in PBS to the same final concentration as those evaluated in the assay using whole blood and subjected to the same manipulation as test samples (i.e. incubation at 37C for 3 hours, followed by centrifugation and mixing with CMH reagent) can serve as additional control to rule out false-positive assay results.

5. Preparation of Blood and Study Samples

5.1 Test Samples

This assay requires 1.0 mL of nanoparticle solution dissolved/resuspended in PBS, at a concentration 9X the highest final test concentration. Test concentrations are based on the calculated plasma concentration of the nanoparticle at the intended therapeutic dose. For the purpose of this protocol this concentration is called "theoretical plasma concentration". Considerations for estimating theoretical plasma concentration have been reviewed elsewhere [4] and are summarized in Box 1 below.

Box 1. Example Calculation to Determine Nanoparticle Theoretical Plasma Concentration

In this example, we are assuming a known efficacious mouse dose of 123 mg/kg. Therefore, the scaled equivalent human dose would be:

human dose =
$$\frac{\text{mouse dose}}{12.3} = \frac{123 \text{ mg/kg}}{12.3} = 10 \text{ mg/kg}$$
 (see reference [5])

The blood volume of a human is approximately 8% of the body weight. Therefore, an average human of 70 kg body weight has approximately 5.6 L of blood. Assuming all the nanoparticle injected goes into the systemic circulation, this provides a rough approximation of the potential maximum nanoparticle concentration in a human. The theoretical plasma concentration, i.e. in vitro test concentration, is calculated by:

theoretical plasma concentration =
$$\frac{human \, dose}{human \, blood \, volume}$$
 = $\frac{70 \, kg \, x \, 10 \, mg/kg}{5.6 \, L}$ = 0.125 mg/mL

This assay evaluates four concentrations: 10X (5X if 10X cannot be achieved, or 100X or 30X when feasible) of the theoretical plasma concentration, the theoretical plasma concentration, and two serial 1:5 dilutions of the theoretical plasma concentration. When the intended therapeutic concentration is unknown, 1 mg/mL is used as the highest concentration. Alternatively, the highest reasonably achieved concentration can be used if 1 mg/mL is unattainable.

For example, if the theoretical plasma concentration to be tested is 0.2 mg/mL, a stock of 18 mg/mL is prepared. This sample is then diluted 10 fold (1.8 mg/mL), followed by two 1:5 serial dilutions (0.36 and 0.072 mg/mL). When 0.1 mL of each of these sample dilutions is added to the test tube and mixed with 0.7 mL of PBS and 0.1 mL of blood, the final nanoparticle concentrations tested in this assay are: 2.0, 0.2, 0.04 and 0.008 mg/mL.

5.2 Blood Preparation

Collect whole blood in tubes containing Li-heparin as an anti-coagulant from at least three donors. Discard the first 10 cc. The blood can be used fresh or stored at 2-8°C for up to 48 hr. On the day of the assay, prepare pooled blood by mixing equal proportions of blood from each donor. Donors are preselected so that compatible blood types are mixed. The assay can also be performed using individual donors if desired.

6. Experimental Procedure

- 1. Centrifuge a 2-3 mL aliquot of the pooled blood for 15 min at 800xg.
- 2. Collect supernatant. Keep at RT while preparing standard curve, quality controls, and total hemoglobin sample. The collected sample is used to determine plasma-free hemoglobin (PFH).
- 3. Add 200 μL of each calibration standard, quality control, and blank (CMH Reagent) per well on a 96 well plate. Fill 2 wells for each calibrator and 4 wells for each quality control (QC) and blank. Position test samples so as they are bracketed by QC (See Plate Map 1 example in Appendix).
- 4. Add 200 μ L of total blood hemoglobin (TBH) sample, prepared by combining 20 μ L of the pooled whole blood and 5.0 mL of CMH Reagent. Fill 6 wells.
- 5. Add $100 \mu L$ of PFH per well on 96 well plate. Fill 6 wells.

- Add 100 μL of CMH Reagent to each well containing sample.
 Important: Do not add CMH Reagent to wells containing calibration standards and quality controls.
- 7. Cover plate with plate sealer and gently shake on a plate shaker for 1-2 min (shaker speed settings should be vigorous enough to allow mixing, but avoid spillage and cross-well contamination; e.g. LabLine shaker, speed 2-3).
- 8. Read the absorbance at 540 nm to determine hemoglobin concentration. Remember to use the dilution factor 2 for PFH samples and dilution factor 251 for TBH. If calculated PFH concentration is below 1 mg/mL proceed to the next step. If calculated PFH concentration is greater than 1 mg/mL, this blood sample is not qualified for use in this assay and new blood specimen should be obtained and retested.
- 9. Dilute pooled whole blood with Ca^{2+}/Mg^{2+} free PBS to adjust total blood hemoglobin concentration to 10 ± 2 mg/mL (TBHd).
- 10. Set up two racks. Rack 1 contains tubes for the sample incubation with blood. Rack 2 contains tubes for the nanoparticle only (no blood) control. Prepare six tubes for each test-sample and place three tubes into Rack 1 and three tubes into Rack 2. Place four tubes for the positive control, two tubes for the negative control and two tubes for the vehicle control (if the vehicle is PBS, this sample can be skipped) into Rack 1.
- 11. Add 100 µL of the test-sample or control in corresponding tubes in Rack 1 and Rack 2.
- 12. Add 700 μL of $Ca^{2+}\!/Mg^{2+}$ free PBS to each tube in Rack 1.
- 13. Add 800 μL of Ca^{2+}/Mg^{2+} free PBS into each tube in Rack 2.
- 14. Add 100 μL of the whole blood prepared in step 9 to all tubes in Rack 1.
- 15. Cover tubes and gently rotate to mix.

Important: Vortexing may damage erythrocytes and should be avoided.

- 16. Place the tubes in a 37°C water bath and incubate for 3 hr ± 15 min, mixing the samples every 30 min. Alternatively, tubes may be incubated on a tube rotator in a 37°C incubator.
- 17. Remove the tubes from water bath or incubator. If a water bath was used, dry excess water with absorbent paper.
- 18. Centrifuge the tubes for 15 min at 800xg.

Important: When centrifugation is complete examine tubes and record any unusual appearance that may help in result interpretation. See example in Figure 1.

Important: If nanoparticles have absorbance at or close to 540 nm, removal of these particles from supernatant will be required before proceeding to the next step. For example, 10 - 50 nm colloidal gold nanoparticles have absorbance at 535 nm. After step 18, supernatants should be transferred to fresh tubes and centrifuged 30 min at 18, 000xg. The method for nanoparticle removal from supernatant is nanoparticles specific, and when utilized, appropriate validation experiments should be conducted to ensure that a given separation procedure does not affect assay performance. In certain cases, removal of particles is not feasible. In such instances, assay results obtained for a particle incubated with blood is adjusted by subtracting results obtained for the same particle in the "minus blood" control (refer to samples in Rack 2, step 13). Examples of interference and ways to remove it are shown in Figures 2 and 3.

- 19. Prepare a fresh set of calibrators and quality controls.
- 20. Prepare inhibition enhancement controls by spiking positive control supernatant from step 18 with nanoparticles to the same final particle concentration as in the test samples.
- 21. To a fresh 96 well plate, add 200 μ L of blank reagent, calibrators, quality controls or total blood hemoglobin sample (TBHd) prepared by combining 400 μ L of blood from step 9 with 5.0 mL of CMH reagent. Fill two wells for each calibrator, four wells for blank and each quality control, and six wells for TBHd sample. As before, position all test samples between quality controls on the plate (See Plate Map 2 example in Appendix).
- 22. Add 100 μ L per well of test samples and controls (positive, negative, and vehicle with and without blood) prepared in step 18 as well as IEC from step 20. Test each sample in duplicate.
- 23. Add 100 μL of CMH Reagent to each well containing sample and controls.

 Important: Do not add CMH Reagent to wells containing calibration standards, quality controls and TBHd.
- 24. Cover plate with plate sealer and gently shake on a plate shaker (e.g., LabLine shaker, speed settings 2-3, or as appropriate for a given shaker).
- 25. Read the absorbance at 540 nm to determine concentration of hemoglobin. Remember to use the dilution factor 18 for samples and controls and dilution factor 13.5 for TBHd.

7. Calculations and Result Interpretation

Four-parameter regression algorithm is used to build calibration curve. The following parameters should be calculated for each calibrator and quality control sample:

7.1 Percent Coefficient of Variation (%CV)

The % CV should be calculated for each blank, positive control, negative control and unknown sample:

7.2 Percent Difference From Theoretical (PDFT)

7.3 Percent Hemolysis

7.4. Result Interpretation

According to references 2 and 3, percent hemolysis less than 2 means the test sample is not-hemolytic; 2-5 % hemolysis means the test sample is slightly hemolytic; and >5% hemolysis means the test sample is hemolytic.

8. Acceptance Criteria

- The %CV and PDFT for each calibration standard and quality control should be within 20%. The exception is Cal 6, for which 30 % is acceptable. A plate is accepted if 2/3 of all QC samples and at least one of each QC concentration have demonstrated acceptable performance. If not, entire run should be repeated.
- 2. The % CV for each positive control, negative control and unknown sample should be within 20%. At least one replicate of positive and negative control should be acceptable for run to be accepted.

- 3. If both replicates of positive control or negative control fail to meet acceptance criterion described in 8.2, the run should be repeated.
- 4. Within the acceptable run, if two of three replicates of unknown sample fail to meet acceptance criterion described in 8.2, this unknown sample should be re-analyzed.

9. Example of Nanoparticle Interference

Figure 1 demonstrates the importance of recording sample appearance after centrifugation to avoid false negative result interpretations.

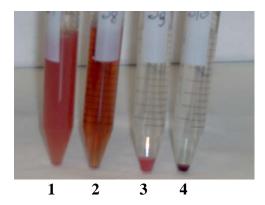
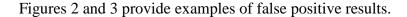


Figure 1. Example of hemolytic activity. In the above picture, polystyrene nanoparticles with a size of 20 nm (tube 1) and polystyrene nanoparticles with a size of 50 nm (tube 2) demonstrated hemolytic activity which can be observed by the color of supernatant. Polystyrene nanoparticles with a size of 80 nm (tube 3) were also hemolytic. However, they absorbed hemoglobin; this can be determined by the pellet size and color. If the supernatant of this sample is used in the assay and measured at 540 nm, it will provide a negative result. Tube 4 is the negative control. No hemolytic activity was observed in the supernatant, and intact red blood cells formed a tight dark red pellet on the bottom of the tube.



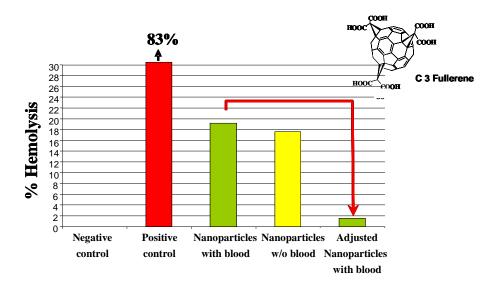


Figure 2. Example of a false-positive result due to nanoparticle absorbance at the assay wavelength. Due to the small size, the fullerene nanoparticle could not be removed from the supernatant. Therefore, result adjustment was done to account for interference.

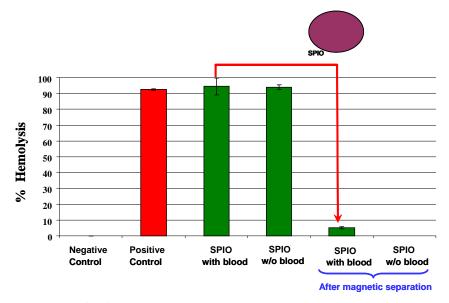


Figure 3. Example of a false-positive result due to nanoparticle absorbance at the assay wavelength. To remove iron oxide nanoparticles from supernatants, tubes containing supernatants were placed on magnets and incubated overnight at 4°C. Particles concentrated on the side of the tube adjacent to magnet, thus allowing removal of particle-free supernatant for analysis.

10. References

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11. Abbreviations

API active pharmaceutical ingredient

Cal calibration

CMH cyanmethemoglobin

CV coefficient of variation

PBS phosphate buffered saline

PFH plasma-free hemoglobin

QC quality control

SPIO super paramagnetic iron oxide

TBH total blood hemoglobin

TBHd total blood hemoglobin, diluted

12. Appendix

Plate Map 1

	1	2	3	4	5	6	7	8	9	10	11	12
A	Blank	Cal 1	Cal 2	Cal 3	Cal 4	Cal 5	Cal 6	QC 1	QC 2	QC 3	PFH	PFH
В	Blank	Cal 1	Cal 2	Cal 3	Cal 4	Cal 5	Cal 6	QC 1	QC 2	QC 3	PFH	PFH
C	PFH	ТВН	ТВН	ТВН	Blank	QC 1	QC 2	QC 3				
D	PFH	ТВН	ТВН	ТВН	Blank	QC 1	QC 2	QC 3				
E												
F												
G												
н												

Plate Map 2

	1	2	3	4	5	6	7	8	9	10	11	12
A	Blank	Cal 1	Cal 2	Cal 3	Cal 4	Cal 5	Cal 6	QC 1	QC 2	QC 3	TS 1.0 mg/mL	TS 1.0 mg/mL
В	Blank	Cal 1	Cal 2	Cal 3	Cal 4	Cal 5	Cal 6	QC 1	QC 2	QC 3	TS 1.0 mg/mL	TS 1.0 mg/mL
C	TS 1.0 mg/mL	TS 0.2 mg/mL	TS 0.2 mg/mL	TS 0.2 mg/mL	TS 0.04 mg/mL	TS 0.04 mg/mL	TS 0.04 mg/mL	TS 0.008 mg/mL	TS 0.008 mg/mL	TS 0.008 mg/mL	TS (No Blood) 1.0 mg/mL	TS (No Blood) 1.0 mg/mL
D	TS 1.0 mg/mL	TS 0.2 mg/mL	TS 0.2 mg/mL	TS 0.2 mg/mL	TS 0.04 mg/mL	TS 0.04 mg/mL	TS 0.04 mg/mL	TS 0.008 mg/mL	TS 0.008 mg/mL	TS 0.008 mg/mL	TS (No Blood) 1.0 mg/mL	TS (No Blood) 1.0 mg/mL
E	TS (No Blood) 1.0 mg/mL	TS (No Blood) 0.2 mg/mL	TS (No Blood) 0.2 mg/mL	TS (No Blood) 0.2 mg/mL	TS (No Blood) 0.04 mg/mL	TS (No Blood) 0.04 mg/mL	TS (No Blood) 0.04 mg/mL	TS (No Blood) 0.008 mg/mL	TS (No Blood) 0.008 mg/mL	TS (No Blood) 0.008 mg/mL	PC	PC
F	TS (No Blood) 1.0 mg/mL	TS (No Blood) 0.2 mg/mL	TS (No Blood) 0.2 mg/mL	TS (No Blood) 0.2 mg/mL	TS (No Blood) 0.04 mg/mL	TS (No Blood) 0.04 mg/mL	TS (No Blood) 0.04 mg/mL	TS (No Blood) 0.008 mg/mL	TS (No Blood) 0.008 mg/mL	TS (No Blood) 0.008 mg/mL	PC	PC
G	NC	NC	TBHd	ТВНа	TBHd	IEC1	IEC 2	IEC3	IEC4	QC 1	QC 2	QC 3
Н	NC	NC	TBHd	TBHd	TBHd	IEC1	IEC 2	IEC3	IEC4	QC 1	QC 2	QC 3

IEC – inhibition enhancement control prepared by spiking PC supernatant with test nanoparticles.